

## Connectionist Principles in Theories of Speech Production

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## 1. Introduction

In psycholinguistics, speech production refers broadly to the processes mapping a message the speaker intends to communicate onto its form. If a speaker wishes to tell someone “The picture I’m looking at is an animal—a feline pet,” these processes allow the speaker to generate the spoken form “cat.” Psycholinguistic theories have focused on formulation processes—the construction/retrieval of a plan to produce an utterance. This plan specifies the phonological structure of the utterance (e.g., an accented syllable composed of three segments /k/ /æ/ /t/). Subsequent articulatory/motoric processes execute this plan, producing the actual movements of the speech organs. Theories of these post-formulation processes are not reviewed here (see Byrd and Saltzmann, 2003, for discussion).

Since the mid-1980s (e.g., Dell, 1986; MacKay, 1987; Stemberger, 1985) connectionist architectures have served as the dominant paradigm for characterizing theories of formulation processes. The first section of this chapter examines how two connectionist principles (localist representations and spreading activation) have influenced the development of speech production theories. The use of these principles in framing theories of speech production is discussed, followed by an illustration of how the principles have been used to account for 3 sets of empirical observations. Although this work has been quite successful in explaining a variety of empirical phenomena, it has failed to incorporate two principles that are central to connectionist research in many other domains: learning and distributed representations. The second section of the chapter reviews two examples of more recent work that incorporate these principles into theories of speech production.

## 2. Spreading activation between localist representations

### 2.1 Localist connectionist principles

Two general connectionist processing principles (after Smolensky, 2000) have guided the bulk of connectionist research in speech production:

1. Representations are activation patterns. Mental representations are patterns of numerical activity.
2. Processing is spreading activation. Mental processes are transformations of activity patterns by patterns of numerical connections.

To instantiate the first principle, many connectionist speech production theories have assumed that different types of linguistic information are encoded using localist representations (see Page, 2000, for a detailed discussion of the use of such representational structures in connectionist networks). The two basic types of representations are illustrated in Figure 2.1. The first representational type, shown at the top of Figure 2.1, is strictly local; each linguistic object is represented by a single processing unit (e.g., each word has an independent unit such as <CAT>). The second representational type is feature-based (or ‘semi-local’). In such representations, a small, discrete group of processing units represents each linguistic object (e.g., each word is encoded by a small set of discrete phonemes such as /k/ /ae/ /t/).

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Figure 2.1 about here

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To instantiate the second principle, the most basic element of processing in connectionist systems (localist as well as non-localist) is spreading activation. Suppose a numerical pattern of activity is imposed on some set of representational units (e.g., in Figure 2.1, the word unit

<CAT>'s activation is set to 100; all other word units are inactive). This activation can then be spread to other units via a set of weighted connections (e.g., in Figure 2.1, <CAT> is linked to the phoneme units /k/ /ae/ /t/ by connections with weights of 0.1). The amount of activation a unit transmits to other units is simply the product of its activation and the weight on the connection between the units (e.g.,  $100 * 0.1$ ). The activation of the target units is the sum of this incoming activation (e.g.,  $100 * 0.1 = 10$  for each phoneme unit connected to <CAT>).

## 2.2. A generic localist connectionist framework

Following Rapp and Goldrick (2000), Figure 2.2 provides a generic representational and processing framework to illustrate how these two connectionist principles are instantiated within theories of single word production. First, three broad levels of linguistic structure are represented by numerical patterns of activity over localist representational units. At the top of the figure are semantic representations, specifying the meaning of lexical items in a particular language. Here, a set of semantic features represents each lexical concept (e.g., {animal, feline, pet} for lexical concept {CAT}). These representations provide an interface between more general (non-linguistic) conceptual processing and those processes that specify the linguistic form of an intended message. The bottom of the figure depicts phonological representations—stored, sub-lexical representations of the spoken form of lexical items. Here, a set of phonemes represents each word's form (e.g., /k/ /ae/ /t/ for the lexical item <CAT>). The relationship between these two representations is mediated by a lexical representation; here, a unitary word-size node (e.g., <CAT>).

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Figure 2.2 about here

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Most current theories of speech production (Garrett, 1980; Levelt, 1992) assume that formulation processes are implemented via two stages of activation spreading between these localist representations. The first stage begins with activation of a set of semantic feature units; activation spreads from these units, and the stage ends with the selection of the most strongly activated lexical unit (discussed in more detail below). This corresponds to selecting a lexical item to express the intended message. The second stage begins with the selection of a lexical unit, which spreads activation throughout the production network. This stage ends with the selection of the most strongly activated phoneme units. This corresponds to the construction of an utterance plan for the selected lexical item. It is important to note that these two stages may not be strictly separated; they may interact and overlap in time (e.g., Dell, 1986; see below for discussion of interactive mechanisms).

As shown by the description above, processing in localist connectionist architectures involves not only the simple spreading of activation between connected units, but also the selection of units at particular points in processing. This refers to processes that enhance the activation of units corresponding to one representation relative to that of other units (e.g., enhancement of a single lexical unit; enhancement of a set of phoneme units). By increasing the relative amount of activation that a unit (or group of units) can send on to other representational levels, this enhancement process allows the selected unit(s) to dominate subsequent processing. A variety of spreading activation mechanisms have been used to enhance selected representations. First, some theories propose that the selected representation's activation is simply boosted by adding extra activation to it (e.g., Dell, 1986; Dell, Schwartz, Martin, Saffran, and Gagnon, 1997; Rapp and Goldrick, 2000). For example, in Dell's (1986) theory, at selection points the most highly activated node (or nodes) has its activation boosted to a pre-set high level.

The node is then much more active than its competitors, allowing it to dominate processing. The second selection mechanism involves inhibiting the activation of competitors (see Dell and O'Seaghdha, 1994, for a review). This is most often realized computationally via lateral inhibitory connections among units of a similar representational type (e.g., Harley, 1995). With the activation of competitors greatly reduced, the target is able to dominate subsequent processing. A final prominent proposal for enhancing relative activation involves "gating" activation flow. In such systems, representations are not allowed to spread activation to other processing stages until they meet some activation-based response criterion (e.g., a threshold of activation: Laine, Tikkala, and Juhola, 1998; or a relative activation level sufficiently greater than that of competitors: Levelt, Roelofs, and Meyer, 1999). Since only selected representations are allowed to influence subsequent processes, they completely dominate processing at these levels.

These selection mechanisms detail how a representation comes to dominate processing. But how does the production system determine which representation to select? Generally, it is assumed that selection processes target a representation that is structurally appropriate. At the lexical level, words must be able to fit into the syntactic structure of the sentence being produced. When producing the head of a noun phrase, it is crucial that a noun (not a verb) be selected. At the phonological level, the selected segments must fit into the appropriate metrical structure. When producing the first segment of <CAT>, it is crucial that an onset consonant (not a vowel, nor a coda consonant such as /ng/) be selected. These structural influences are commonly incorporated into localist connectionist architectures by postulating distinct planning representations. One approach uses structural frames with categorically specified slots to guide selection (see Dell, Burger, and Svec, 1997, for a review). Each frame activates its slots in the

appropriate sequence. When a slot is active, it enhances the activation of all units within the specified category. This activation boost insures that structurally appropriate units are selected. For example, at the lexical level, a structural frame for noun phrases would first activate a determiner slot, enhancing the activation of all determiners. Once the determiner has been selected, the frame would activate a noun slot, enhancing the activation of all noun units. This activation support insures that the most highly activated noun (and not a verb) is selected during production.

It should be noted that the detailed structure of this generic architecture differs from that of many prominent localist connectionist theories. Although these details do not affect the account of the empirical results discussed below, they are briefly reviewed here due to their important implications for other aspects of speech production. First, note that this framework omits any representation of the grammatical properties of lexical items (e.g., grammatical category, number, gender, etc.) which play an important role in speech production (see Ferreira, this volume, for further discussion). Second, many theories assume the existence of different numbers and types of localist representations in the production system. With respect to semantic representations, some proposals make use of unitary semantic concept nodes, not sets of features (e.g., {CAT}, instead of {animal, feline, pet}; see Levelt et al., 1999, Roelofs, 1992, for discussion). With respect to phonological representations, many theories assume that in addition to phoneme identity, multiple dimensions of phonological structure are represented (e.g., features, such as [-voice] for /k/; consonant/vowel structure, such as CVC for “cat;” and metrical structure such as location of stress; see e.g., Dell, 1988; Levelt et al., 1999). Finally, some theories assume that multiple levels of lexical representation are present (e.g., Dell, 1986, 1990; Levelt et al., 1999). A related debate concerns modality specificity: whether a given level of

lexical representation is specific to the spoken modality (e.g., Caramazza, 1997) or shared across writing and speaking (e.g., Dell, Schwartz et al., 1997). Theories with two levels of lexical representations generally assume a distinction between modality independent lexical representations (typically referred to as lemmas, which link to grammatical information) and modality dependent representations (typically referred to as lexemes, which link to form information). Those with a single level either assume a single, amodal lexical representation (linking to both grammatical and form information), or distinct lexical representations for spoken and written production (which link to shared grammatical information but distinct form information). (For detailed discussions of the pros and cons of particular proposals for lexical representation(s), see Caramazza, 1997; Caramazza and Miozzo, 1997, 1998; Caramazza, Bi, Costa, and Miozzo, 2004; Caramazza, Costa, Miozzo, and Bi, 2001; Jescheniak, Meyer, and Levelt, 2003; Levelt et al., 1999; Rapp and Caramazza, 2002; Roelofs, Meyer, and Levelt, 1998).

In spite of differences in the detailed structure of the system, this generic processing framework reflects two core assumptions shared by most speech production theories. First, it makes use of three processing levels that are shared across all current theories (conceptual, lexical, and phonological). Second, it adopts the general assumption (discussed above) that formulation involves two stages of processing. These core assumptions are sufficient to frame the discussion of the empirical results discussed below.

### 2.3. Applying localist connectionist principles to empirical data

Localist representations and spreading activation mechanisms have been used to account for a wide variety of empirical phenomena. The discussion in this section uses 3 specific sets of observations to illustrate the influence of these principles on speech production theories. Table 2.1 provides an overview. First, accounts of the contrasting influence of semantic and

phonological similarity in picture naming illustrate how connectionist representational principles have influenced production theories. The next section discusses how connectionist processing principles play a crucial role in the explanation of mixed error biases. The final section examines how neurobiologically-inspired connectionist principles have been used to understand the consequences of neurological damage.

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 Table 2.1 about here  
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### 2.3.1. Semantic interference versus phonological facilitation in picture naming

An important technique for studying speech production processes has been the picture-word interference task (for a historical overview of this research, and discussion of the importance of this paradigm in the development of theoretical accounts, see Levelt, 1999; Levelt et al., 1999). In this paradigm, participants are presented with pictures (typically, black and white line drawings) depicting common objects and asked to name them. At some point in time close to the presentation of the picture, an interfering stimulus is presented. Either a written word is superimposed on the picture, or an auditory stimulus is presented while participants look at the picture. Although participants are instructed to ignore the interfering stimulus, it can influence the time it takes them to initiate production of the picture's name. In particular, two distinct effects on naming latency are observed depending on the linguistic relationship between the interfering stimulus and the target. (Latencies are also influenced by the time difference between picture and word onset; these effects are not discussed here.)

First, semantic category relationships produce interference. In the seminal study of Schriefers, Meyer, and Levelt (1990), auditory distractor words from the same semantic category

as the picture name slowed response times. If the word “dog” was presented prior to the presentation of a picture of a cat, the time to initiate the response “cat” was significantly slower (compared to trials where an unrelated word such as “mop” was presented). In contrast to interference from semantically related items, Schriefers et al. found that phonological relationships facilitate picture naming. If the word “cap” was presented at the same time or following presentation of target picture “cat,” the response time was significantly faster compared to unrelated trials. Many studies have replicated the basic pattern of inhibition from semantic category members (see Roelofs, 1992, for a review; see Costa, Mahon, Savova, and Caramazza, 2003; Costa, Alario, and Caramazza, 2005, for discussion of effects from semantically related words at different levels of categorization) and facilitation from similar-sounding words (see Starrrevel, 2000, for a review).

Many connectionist theories of speech production have used localist representational principles to account for these effects. Specifically, these theories attribute contrasting effects of semantic and phonological distractors to differences in the structure of lexical and phonological representations (see, e.g., Levelt et al., 1999; Roelofs, 1992). Figure 2.1 illustrates the general properties of this account. As shown in Figure 2.3A, when a semantic distractor is presented, spreading activation from the target and competitor’s semantic features diverge onto two distinct lexical representations (e.g., <CAT> and <DOG>). Because lexical representations are strictly local, this spreading activation increases the activation of competitor representations, slowing the selection of the target. As shown in Figure 2.3B, a different situation occurs for phonological

distractors. Spreading activation from the target and competitor's lexical representations<sup>1</sup> converge onto the common phonemes that they share. This enhances the target's representation, speeding selection of its phonological structure. By assuming that the localist representation of linguistic structure varies across levels, connectionist theories can account for the distinct patterns of semantic and phonological distractors.

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 Figure 2.3 about here  
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### 2.3.2. The mixed error effect

Errors in speech production are often classified in terms of their linguistic relationship to the target. Purely semantic errors (e.g., “cat” → “dog”) are similar in meaning, but not form; purely phonological errors (e.g., “cat” → “cap”) share form but not meaning. The term mixed error is generally used to refer to errors that overlap along both of these dimensions (e.g., “cat” → “rat”). Many studies have observed that mixed errors occur more often than would be predicted by the simple sum of the rates of purely semantic (e.g., “cat” → “dog”) and purely phonological (e.g., “cat” → “cap”) errors. This has been observed in studies of spontaneous speech errors (e.g., Harley and MacAndrew, 2001), experimentally induced speech errors (e.g., Brédart and Valentine, 1992), and the production errors of many aphasic individuals (e.g., Rapp and Goldrick, 2000).

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<sup>1</sup> An additional source of activation from word distractors is via sub-lexical conversion procedures that directly activate phonological representations from orthographic or acoustic input (e.g., Roelofs, Meyer & Levelt, 1996). In fact, Costa, Miozzo, & Caramazza (1999) argue that these sub-lexical processes drive the phonological facilitation effect. Regardless of the source of the activation, the presence of facilitation (as opposed to inhibition) derives from the use of feature-based localist representations (such that target and distractor overlap in structure).

This result is unexpected under a discrete version of the two-stage framework of speech production discussed above. If we assume that the two stages have a strictly serial relationship, mixed errors should simply be the sum of (independently occurring) semantic and phonological errors. During the first stage, a lexical representation is selected solely based on the intended message. Both mixed and purely semantic competitors should therefore be equally active (e.g., for target “cat,” <DOG> should be just as active as <RAT>). If processing is serial and discrete, during the second stage only the phonemes of the selected lexical item are activated. Both mixed and purely phonological competitors should therefore be equally active (e.g., /k/ /ae/ /p/ should be just as active as /r/ /ae/ /t/). Since at neither level of processing are mixed errors more likely than “pure” semantic or phonological errors, this discrete theory cannot account for the mixed error effect.

To produce the mixed error effect, many theories have relied on the connectionist principle of spreading activation. Specifically, the discrete architecture is enhanced by adding two spreading activation mechanisms (e.g., Dell, 1986). These are illustrated in Figure 2.4. The first is cascading activation (Figure 2.4A). Cascade allows non-selected lexical representations to exert an influence on processing at the phonological level. For example, semantic neighbors (activated via spreading activation from semantic features) are allowed to activate their phonemes (e.g., <RAT> activates /r/). This activation boost makes mixed errors more likely than purely phonological errors (e.g., /r/ is more active than /p/, meaning that “rat” is more active than “cap”).

The second mechanism is feedback (Figure 2.4B). Feedback systems allow activation from phonological representations to spread back to lexical representations (e.g., /ae/ /t/ activate <RAT>). This can combine with top-down activation from shared semantic features, boosting

the activation of mixed competitors relative to that of purely semantic competitors (e.g., because it shares phonemes with the target, <RAT> is more active than <DOG>). By influencing the first stage of processing (i.e., the selection of a lexical item), feedback makes mixed error outcomes more likely to occur than purely semantic errors.

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 Figure 2.4 about here  
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The relative contributions and strength of cascading activation and feedback within the speech production system is a matter of some debate (see Rapp and Goldrick, 2000; Goldrick, accepted, for discussion). Furthermore, some theories have attributed the mixed error effect not to spreading activation within the production system but to the influence of perceptual monitoring systems. These monitoring mechanisms can halt speech prior to articulation, preventing some of the errors arising during formulation processes from being overtly produced. According to such accounts, since mixed errors are both phonologically and semantically similar to the target, they are less likely to be detected by the perceptual monitor than corresponding “pure” error types. Mixed errors are therefore more likely to be overtly produced, producing the mixed error effect (for discussion, see Levelt et al., 1999; Roelofs, 2004).

### 2.3.3. Connectionist accounts of speech production impairments

As a consequence of brain damage, many individuals suffer from impaired speech production abilities (see, e.g., the contributions of Caramazza and Berndt to this volume). Given that connectionist principles reflect (in part) neurobiological processing principles, connectionism may provide a very useful framework for understanding these impairments. Most commonly, researchers have conceptualized impaired speech production performance as

reflecting the distortion of the spread of activation within the production network. Theories of damage can be broadly divided into two types: those that involve global alteration of spreading activation, and those that involve alterations that are specific to particular representational levels.

Global damage mechanisms. In a series of papers, Dell, Martin, Saffran, Schwartz and colleagues (Dell, Schwartz et al., 1997; Martin, Dell, Saffran, and Schwartz, 1994; Martin and Saffran, 1992; Martin, Saffran, and Dell, 1996; Schwartz and Brecher, 2000) proposed that global alterations to activation spreading could account for the range of patterns of impairment to speech production processes. They proposed two specific damage mechanisms. The first was a reduction of the ability of different representational layers in the network to spread activation to one another (the ‘connection weight’ parameter of Dell, Schwartz et al., 1997). If this type of activation spreading is reduced, less activation flows between representational levels. Due to lower levels of activation, noise on processing units can then overwhelm the representation of the correct response, leading to errors. The second mechanism involved a reduction of the ability of units to retain activation over time (‘decay’ in Dell, Schwartz et al., 1997). Typically, the activation of a unit at a given time step is not just determined by the activation flowing into it from other representational levels but also by its activation at previous time steps. (Note that this can be conceived of as a unit spreading activation back onto itself.) Increasing decay—i.e., decreasing the amount of activation that units retain over time—can therefore serve to lower levels of activation, allowing random noise to disrupt the target and produce errors (for further discussion of the potential influence of decay on impairments to speech production, see Harley and MacAndrew, 1992; Wright and Ahmad, 1997).

To test the ability of these two mechanisms of global damage to account for aphasic naming patterns, Dell, Schwartz et al. (1997) constructed a simulation of the formulation

processes of English speakers. For 21 individuals with aphasia, the connection strength and decay parameters of this simulation were globally adjusted to see if the simulation could reproduce their error patterns. Specifically, for each of the 21 patients, the simulation's parameters were globally altered so that it matched (as closely as possible) the patient's relative proportion of: correct responses; phonologically related (e.g., cat → rat) and unrelated (e.g., cat → dog) semantic errors; phonologically related (e.g., cat → cap) and unrelated (e.g., cat → rug) word errors; and nonword errors (e.g., cat → zat). The results of this parameter-fitting procedure provided some quantitative support for the global damage theory. The simulation was able to fairly closely approximate the individual error distributions (but see Rumel and Caramazza, 2000, for a criticism of the simulation's fit to the data, and Dell, Schwartz, Martin, Saffran, and Gagnon, 2000, for a response to these criticisms).

The global damage simulation was not only able to reproduce the patients' error patterns; the parameter fits used to account for the error distributions were able to derive novel predictions about patient performance. As discussed above, the presence of a mixed error effect requires the presence of spreading activation between phonological and lexical representations (either lexical to phonological cascade or phonological to lexical feedback). If an individual's error pattern was fit by reducing connection strength, the spreading activation theory of mixed errors predicts that they should show a reduced mixed error effect. Consistent with this prediction, Dell, Saffran et al. (1997) found that as a group individuals whose pattern was fit by high connection weights showed a significant mixed error effect, while individuals whose pattern was fit by low connection weights did not.

Local damage mechanisms. In contrast to Dell, Schwartz et al. (1997), many theoretical accounts of neurologically impaired speech production have proposed that deficit patterns result

from distinct disruptions to specific processes (see, e.g., Rumelhart, Caramazza, Capasso, and Miceli, 2005, for discussion). Connectionist theories have realized this claim in a number of different ways. Foygel and Dell (2000) accounted for production impairments by independently weakening the strength of connections between semantic and lexical vs. lexical and phonological levels (see also Harley and MacAndrew, 1992). As discussed above, weakening connection strength produces errors by lowering activation levels (allowing noise to overwhelm the activation of the target). Other proposals have simulated neurological damage by increasing the strength of noise at particular representational levels (Laine et al., 1998; Rapp and Goldrick, 2000). Increased noise can overwhelm target's activation at a particular processing level, producing errors. A final mechanism used in local connectionist architectures is disruption to lexical selection processes (e.g., reducing the amount by which the activation of the selected representation is enhanced: Harley and MacAndrew, 1992; Goldrick and Rapp, 2002; Rapp and Goldrick, 2000; or manipulations of the threshold for lexical selection: Dell, Lawler, Harris, and Gordon, 2004; Laine et al., 1998). Disrupting selection interferes with the normal flow of activation in the production system, leading to errors at both the lexical and phonological levels.

A number of papers have shown that theories incorporating local damage mechanisms provide a far superior account of the empirical data than the global damage mechanisms proposed by Dell, Schwartz et al. (1997). First, extensive studies (cited below) have shown that local damage assumptions permit a much closer quantitative fit to error distributions than allowed by global damage. Second, the novel predictions made by the parameter fits of Dell, Schwartz et al. (1997) can also be accounted for by localized damage mechanisms (Foygel and Dell, 2000). Finally, and perhaps most problematic for global damage proposals, local damage can account for empirically observed error patterns that simply cannot be produced by global

damage. Rapp and Goldrick (2000) reviewed the performance of two individuals with deficits to formulation processes (i.e., their comprehension and articulation was intact; their deficits were in mapping messages onto form). They produced only semantic errors in picture naming. As shown by a number of studies (cited below), this pattern of only semantic errors cannot be produced by simulations incorporating global damage. Similarly, Caramazza, Papagno, and Rumelhart (2000) review cases where individuals with formulation deficits produce only phonologically related errors. Global damage simulations also fail to produce this pattern of performance. Global damage predicts that “pure” error patterns should not occur—damage always results in the production of a mixture of error types (e.g., not just semantic errors, but phonologically related word and nonword errors as well). In contrast, simulations with local damage can account for these patterns of errors (so long as there is an appropriate degree of interaction between representational levels; see Rapp and Goldrick, 2000; Goldrick and Rapp, 2002, for discussion). For more detailed qualitative and quantitative critiques of global damage theories, see: Caramazza et al., 2000; Cuetos, Aguado, and Caramazza, 2000; Foygel and Dell, 2000; Hanley, Dell, Kay, and Baron, 2004; Rapp and Goldrick, 2000; Rumelhart et al., 2005; Rumelhart, Caramazza, Shelton, and Chialant, 2000. This large body of work leads to the conclusion that impairments to speech production processes are the consequence of local, not global disruptions to processing.

### 3. Distributed representations: Learning and processing

#### 3.1 Connectionist principles outside the traditional localist framework

As noted in the introduction, the work reviewed in the previous section differs in two ways from the bulk of connectionist research in other domains. First, these localist networks assume that connection weights (specifying how activation spreads in the production system) are largely fixed to values set by the simulation designer. In contrast, learning has played a crucial role in other domains of connectionist research (e.g., Elman, Bates, Johnson, Karmiloff-Smith, Parisi, and Plunkett, 1996). The process of learning is in fact seen as a third general principle of connectionist theories (after Smolensky, 2000).

#### 3. Learning is innately-guided modification of spreading activation by experience.

Knowledge acquisition results from the interaction of:

- a. innate learning rules
- b. innate architectural features
- c. modification of connection strengths with experience.

A second divergence is that the research reviewed in the previous section makes use of localist representations, whereas most connectionist research assumes that mental representations are highly distributed patterns of activity (as evidenced by the title of the seminal connectionist work Parallel Distributed Processing (Rumelhart, McClelland, and the PDP Research Group, 1986)). In such approaches, the first principle of connectionist processing can be reformulated as:

- 1'. Representations are *distributed* activation patterns. Mental representations are highly distributed patterns of numerical activity.

In fact, learning and distributed representations are often closely connected in connectionist architectures. Many connectionist networks learn using error correction algorithms. In these simulations, the designer specifies the structure of input and output representations and a learning algorithm. The network is then trained using a set of examples pairing input and output patterns (e.g., the network is taught to map the pattern <animal, feline, pet> to /k/ /æ/ /t/). To allow networks to learn complex input-output mappings, many connectionist theories assume the presence of additional internal representations. These are realized using ‘hidden’ units that mediate the relationship between the input and output units (much like the lexical level in Figure 2.2). The structure of these representations is not pre-specified in the simulation design. Instead, the representations (i.e., the response patterns of the hidden units) develop over the course of learning the mapping between input and output representations (most prominently via the method of backpropagation of error; see Rumelhart, Durbin, Golden, and Chauvin, 1996; Rumelhart, Hinton, and Williams, 1986, for overviews). Of particular relevance here is that these learned internal representations are often highly distributed (see, e.g., Plaut, Seidenberg, Patterson, and McClelland, 1996). Rather than a single unit or a small discrete set of units responding to input patterns, inputs to these trained networks evoke a highly distributed pattern of activity over the hidden units. In this way, learning and distributed representations are often intertwined in connectionist theories.

These two principles, so crucial to connectionist accounts in other domains, were not incorporated into the localist architectures discussed in the first section. The remainder of this chapter considers new work that has attempted to bridge this gap. The application of connectionist learning mechanisms to problems in sentence production is reviewed first,

followed by a discussion of the application of distributed representations to the processing of structure at the phonological level.

### 3.2. Learning and syntactic priming

The term syntactic priming is used here to refer to the observation speakers repeat the same syntactic structures in successive utterances (this is also referred to as structural priming in the sentence production literature). A typical experimental paradigm for inducing this effect has participants repeat a prime sentence aloud and then describe (on a subsequent trial) a picture depicting an event. Several studies have found that participants' picture descriptions tend to reflect the structure of the prime sentence. For example, if participants repeat a passive prime sentence (e.g., "The building manager was mugged by a gang of teenagers"), they would be more likely describe subsequent pictures using passive constructions (e.g., "The man was stung by a bee") compared to active constructions (e.g., "The bee stung the man"). This priming is syntactic in that it does not appear to rely on the prime and target sentences overlapping in other aspects of linguistic structure such as lexical semantics, argument structure, or prosody (see Bock and Griffin, 2000, for a review of the paradigm and basic results).

What processing mechanism gives rise to this effect? As noted above, many connectionist theories assume that some activation persists on representational units over time (e.g., Dell, Schwartz et al.'s (1997) decay parameter). Syntactic priming has been viewed as an influence of this persistence; representational units (such as slots in a structural frame) are pre-activated by previous productions, allowing them to be more quickly and easily retrieved (e.g., Branigan, Pickering, and Cleland, 1999). However, since units retain only a fraction of their activation, smaller and smaller amounts of activation persist across time steps. The influence of this mechanism is therefore necessarily limited in time. In contradiction to this prediction, Bock

and Griffin (2000) found that syntactic priming effects can persist across extremely long lags (e.g., 10 intervening sentences; but see Branigan et al., 1999, for evidence of decay). They interpreted this as supporting an alternative account of syntactic priming based on implicit learning. According to this view, syntactic priming is a consequence of learning processes which make longer-term adjustments to the sentence production system. This has a natural interpretation within connectionist architectures. In the third connectionist principle detailed above, learning is seen as the adjustment of connection weights. Instead of relying solely on persistent activation, the system can rely on experience-driven changes to the way in which activation flows<sup>2</sup>.

This hypothesis has been examined in simulation experiments by Chang, Dell, Bock and Griffin (2000). They utilized the simple recurrent network architecture (Elman, 1990; Jordan, 1986). The basic version of Chang et al.'s network is depicted in Figure 3.1. During processing, the activation of the message units is fixed to a pattern representing the meaning of a sentence. At each time step, the activation of the hidden units (the learned internal representations discussed above) is influenced not only by this message representation but also by the context units. The context units are a copy of the hidden units' activation pattern from the previous time step. This recurrence of hidden unit activation patterns allows previous states of the network to influence processing—in effect, providing the network with “memory.” Since these internal representations are sensitive to previous states, these network can be trained to produce sequences of outputs (see Elman, 1990; Jordan, 1986, for further discussion). In this case, the

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<sup>2</sup> Note that this account is also capable of using persistent activation effects to account for other priming effects that occur only over short lags. However, it does not currently specify why different effects have different priming lags (e.g., in single word production, why repetition priming is found over long lags while semantic priming is not; Barry, Hirsh, Johnston, and Williams, 2001).

network learns to activate, in sequence, the word units corresponding to the intended sentence (e.g., first activating <THE>, then <CAT>, then <WALKS>).

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 Figure 3.1 about here  
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Chang et al. (2000) trained the simulation (using the backpropagation algorithm mentioned above) to produce a set of sentences. To simulate the syntactic priming paradigm, the simulation then received further training corresponding to prime sentences. The internal connections of the network were updated based on each prime sentence, altering the flow of activation within the network. Following this additional training, the simulation was tested using new message inputs. In response to these inputs, the stimulation tended to produce the same structure as the prime sentence, replicating the syntactic priming effect. Furthermore, this influence extended across long intervening lags (e.g., 10 sentences), showing that the learning-based theory can account for Bock and Griffin's (2000) results. Subsequent work (e.g., Chang, 2002; Chang, Dell, and Bock, accepted; Rohde, 2002) has shown that similar effects can be realized within more elaborate connectionist theories of sentence production which address some of the shortcomings of the Chang et al. (2000) simulations. These results, across varying connectionist architectures, illustrate how the third principle of connectionist architectures (experience-driven modification of connection weights) can serve as the basis for a theoretical account for speech production behavior.

It is important to note that although Chang et al. (2000) made use of distributed representations, the implicit learning account of long-term syntactic priming is also compatible with localist representations. Both localist and distributed frameworks rely on spreading

activation; theoretically, accounts based on modifications of this processing mechanism can be generalized across both representational frameworks. However, as noted above, current localist proposals have not generally considered the influence of learning on speech production.

### 3.3. Distributed representations of structure

As noted in the first section, localist connectionist architectures commonly incorporate categorically specified planning representations that guide selection of content units (e.g., a noun phrase frame guides selection of a lexical unit representing a determiner <THE>, followed by and a unit representing a noun <CAT>). Theories making use of learned internal representations (such as the simple recurrent network above) often eschew such explicit planning representations (for “frame”-less approaches to phonological processing, see Dell, Juliano, and Govindjee, 1993; Gupta and Dell, 1999). An alternative approach explored in recent work does not eliminate distinct planning representations, but utilizes more distributed representations of structure than localist approaches (Harris, 2002; Hartley and Houghton, 1996; Vousden, Brown, and Harley, 2000).

Vousden et al. (2000) focused on the selection of sub-lexical phonological structure (e.g., selecting onset /k/, vowel /æ/, and coda /t/ for target <CAT>). They posit that selection is controlled by a distributed representation of syllable structure, generated by a set of oscillators (based on a more general theory of serial order proposed by Brown, Preece, and Hulme, 2000). A set of repeating oscillators sweep through the same series of values during each syllable, just as on a clock a minute hand sweeps through the same digits every hour (e.g., in every syllable, 15 minutes past represents “onset,” 30 minutes past represents “vowel,” 45 minutes past represents “coda”). This repeating component represents structural similarity across syllables. “Nonrepeating” oscillators (i.e., oscillators with extremely long periods) take on distinct values

for each syllable, allowing their system to represent the distinction between syllables. This is similar to the hour hand on a clock, which allows one to distinguish 3:30 from 4:30.

This distributed representation of structure is then used to control selection of phonological content. The time-varying oscillator states (both repeating and non-repeating) are combined to generate a dynamic control signal. The system learns a set of weights<sup>3</sup> on connections associating control signal states to phonological structures (following the clock analogy above, this means learning that 3:15 corresponds to /k/, 3:30 to /æ/, etc.). During retrieval, the appropriate control signal is provided to the system; the oscillators then automatically generate the sequence of control signal states that cue retrieval of the stored phonological sequence.

It is important to note that this proposal shares many properties with localist connectionist planning frames. Both frameworks assume a division between structure and content. In both cases, structural representations are unlearned and categorically specified (e.g., the repeating oscillator states are pre-defined to be the same across all syllables). This property allows both frameworks to account for structural similarity effects on speech errors. A number of studies have shown that segments in similar positions are more likely to interact than those in dissimilar positions (e.g., onset consonants are more likely to interact with onset consonants as compared to those in coda; Vousden et al., 2000). By assuming categorically specified structural representations, this effect can be explained as a consequence of representational overlap between segments in similar positions. For example, in virtue of their shared structural

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<sup>3</sup> See Harris (2002) for discussion of the limitations of Vousden et al.'s (2000) method and a distributed associative memory proposal for more efficiently storing the relationship between control signals and phonological structure.

representations, onset /k/ will be more similar to onset /g/ than coda /g/. This similarity leads to a greater likelihood of segments interacting in errors.

In spite of the properties shared by the two frameworks, there are important distinctions. As noted by Vousden et al. (2000), the oscillator mechanism provides an explicit account of how successive states of the planning representation are generated—oscillators will cycle through their states automatically, just like a clock that has been wound up will automatically cycle through the minutes of each hour. In contrast, many localist frame-based theories have failed to provide detailed sequencing mechanisms (but see Dell, Burger, et al., 1997). A second difference stems specifically from properties of distributed representations. As shown by Vousden et al. (2000), speech errors are influenced by distance—all else being equal, closer segments are more likely to interact with one another than more distant segments. This property is a natural consequence of the use of distributed representations. Vousden et al.’s control signal specifies slots in the planning representation using a time-varying signal. The time-dependence of this signal entails that slots that are temporally close will also have a similar structure. For example, consider a three syllable word such as “subjective” using the clockface analogy above. Each of the three syllables will be associated with a distinct state of the hour hand on the clock (e.g., “sub” will be 4, “jec” will be 5, and “tive” will be 6), while their internal segments are associated with distinct states of the minute hand (e.g., “s” will be 4:15, “u” will be 4:30, etc.). Because these states are generated by time-varying oscillators, the temporally close first and second syllables will be associated with closer values on the hour hand (e.g., 4, 5) than the temporally more distant first and third syllables (4, 6). Due to the greater representational overlap, errors will be more likely to occur between the first and second syllables than between the first and third. In contrast, localist frame units do not typically represent similarity in time.

In many of these theories, slots in planning representations are specified by discrete, atomic units. All slots are therefore equally similar or dissimilar. This allows the system to represent the distinction between the onsets of the first, second, and third syllables but does not encode the fact that the first and second are closer than the first and third. (Note that localist proposals could be elaborated to include such information, but unlike the oscillator framework it is clearly not a necessary component of the representations.)

In principle, then, control signal theories incorporate the positive aspects of frame-based representations (i.e., categorically specified slots, accounting for positional similarity effects) while increasing their empirical coverage (i.e., accounting for distance effects in errors). This increased empirical coverage can be directly attributed to a connectionist processing principle: the use of distributed representations.

#### **4. Conclusions: Connectionist principles in speech production theories**

Connectionist principles have had a profound impact on speech production research. For two decades, production theories have framed their discussion of behavioral data using two assumptions: mental representations are numerical patterns of activity; and processing is spreading activation between these representations. This has not only allowed specific accounts of a variety of empirical phenomena (as illustrated above) but has also supported the development of unified theories of single word production (e.g., WEAVER++; Levelt et al., 1999). As documented in the second section, more recent work has examined how speech production phenomena can be accounted for by using connectionist principles that are quite prominent in other empirical domains (learning and distributed representations). Importantly, much of this new research is cumulative in that it attempts to build on the insights of previous localist approaches. For example, in both syntax (Chang, 2002; Chang et al., accepted) and phonology (Harris, 2002; Vousden et al., 2000), many distributed, learning-based theories have incorporated the localist theories' distinction between mechanisms that control sequencing (e.g., structural frames) and mechanisms specifying representational content. In fact, as shown by Chang (2002; see also Chang et al., accepted), distributed architectures which lack this distinction can have great difficulty accounting for the empirical data. The challenge for future work will be to determine the crucial features of localist connectionist theories of production and how best to incorporate them within a learning-based, distributed representational framework.

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Table 2.1. Three sets of empirical observations that have been explained using connectionist principles in theories of speech production.

<b>Empirical Phenomenon</b>	<b>Connectionist Account</b>
<p data-bbox="228 428 760 495"><b>Semantic interference vs. phonological facilitation in picture naming</b></p> <p data-bbox="188 537 781 751">In picture-word interference experiments, words in the same semantic category as the target interfere with picture naming more than unrelated controls. In contrast, words phonologically related to the target facilitate naming relative to controls.</p>	<p data-bbox="846 428 1409 495"><b>Effect of spreading activation depends on representational structure.</b></p> <p data-bbox="824 537 1398 642">Spreading activation from semantic representations leads to competition between strictly local lexical representations.</p> <p data-bbox="824 684 1382 789">Spreading activation from lexical representations converges on overlapping feature-based phonological representations.</p>
<p data-bbox="367 833 618 863"><b>Mixed error effect</b></p> <p data-bbox="188 942 792 1157">Word errors that overlap with the target in both meaning and form (e.g., “cat”→“rat”) are more likely to occur than predicted based on the rates of purely semantic (e.g., “cat”→“dog”) and purely phonological (e.g., “cat”→“cab”) errors.</p>	<p data-bbox="846 833 1414 900"><b>Spreading activation allows processes at distinct representational levels to interact.</b></p> <p data-bbox="824 942 1382 1125">Cascading activation allows semantic neighbors to activate their phonological representations, making mixed errors more likely than purely phonological errors at the phoneme level.</p> <p data-bbox="824 1167 1422 1339">Feedback allows phonological representations to influence the activation of lexical representations, making mixed errors more likely than purely semantic errors at the lexical level.</p>
<p data-bbox="266 1383 721 1413"><b>Disruptions to speech production</b></p> <p data-bbox="188 1530 769 1640">Following brain damage, individuals produce varying distributions of error types in speech production.</p>	<p data-bbox="824 1383 1422 1493"><b>Spreading activation between and/or within specific representational levels is disrupted by brain damage.</b></p> <p data-bbox="824 1535 1422 1640">Disrupting spreading activation lowers activation levels, allowing noise to overwhelm the target representation.</p> <p data-bbox="824 1682 1398 1787">Local damage provides a superior account of error patterns compared to global disruptions of processing.</p>

### Figure Captions

Figure 2.1. Illustration of spreading activation between strictly local (top layer) and featural (bottom layer) representations in speech production theories. Lines denote connections between units (here, all connection weights are set to 0.1). Numbers within units denote activation (units without numbers have zero activation).

Figure 2.2. A generic representational framework for speech production. The top layer represents word meaning; the middle, mediating lexical representations; and the bottom, sub-lexical representations of form. Lines show connections between the representational units for target “cat.”

Figure 2.3. Semantic interference and phonological facilitation in the picture-word interference task reflect the structure of localist representations. Dashed lines denote activation from the distractor word. A: Semantic interference stems from competition between coactive unitary lexical representations. B: Phonological facilitation arises due to the activation of overlapping feature-based phonological representations.

Figure 2.4. Interaction between levels of processing in speech production produces the mixed error effect. A: Overlapping semantic features activate semantic neighbors of the target (depicted with dotted lines). Cascade allows these lexical units to activate their phonological representations (shown with dashed lines), producing an advantage for mixed errors. B: Feedback allows phonological representations to activate lexical representations. Illustrated here is the first step of feedback: the target’s phonological representation re-activates the target as well as its lexical neighbors (depicted by dashed lines). (Note that these lexical representations could then, in turn, activate their non-target phonological representations; e.g., SAG could

activate /g/). Feedback from the phonology of the target combines with activation of the target's semantic neighbors (shown by dotted lines), producing an advantage for mixed errors.

Figure 3.1. Simple recurrent network architecture of Chang et al. (2000). Message units represent the intended meaning of the sentence, and word units represent the words that make up the sentence the network produces (the network is trained to activate sequences of word units). A set of hidden units is used to mediate the mapping between these representations. To allow for the production of word sequences, a set of context units (containing a copy of the hidden unit activations from the previous time step) are allowed to influence the activation of the hidden units.

Figure 2.1

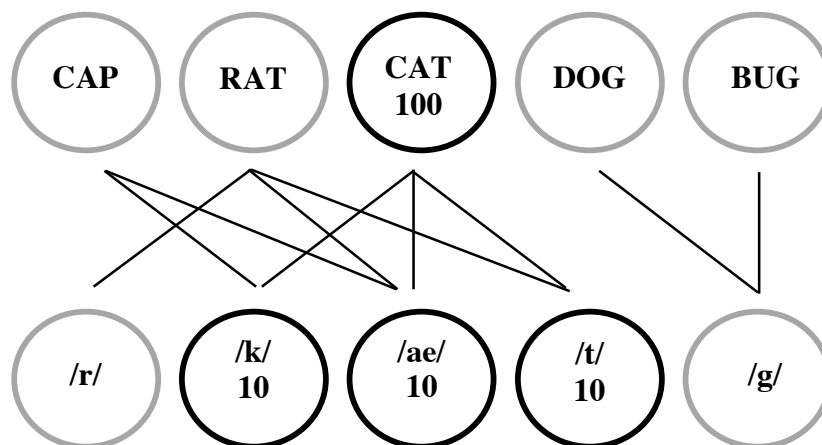


Figure 2.2

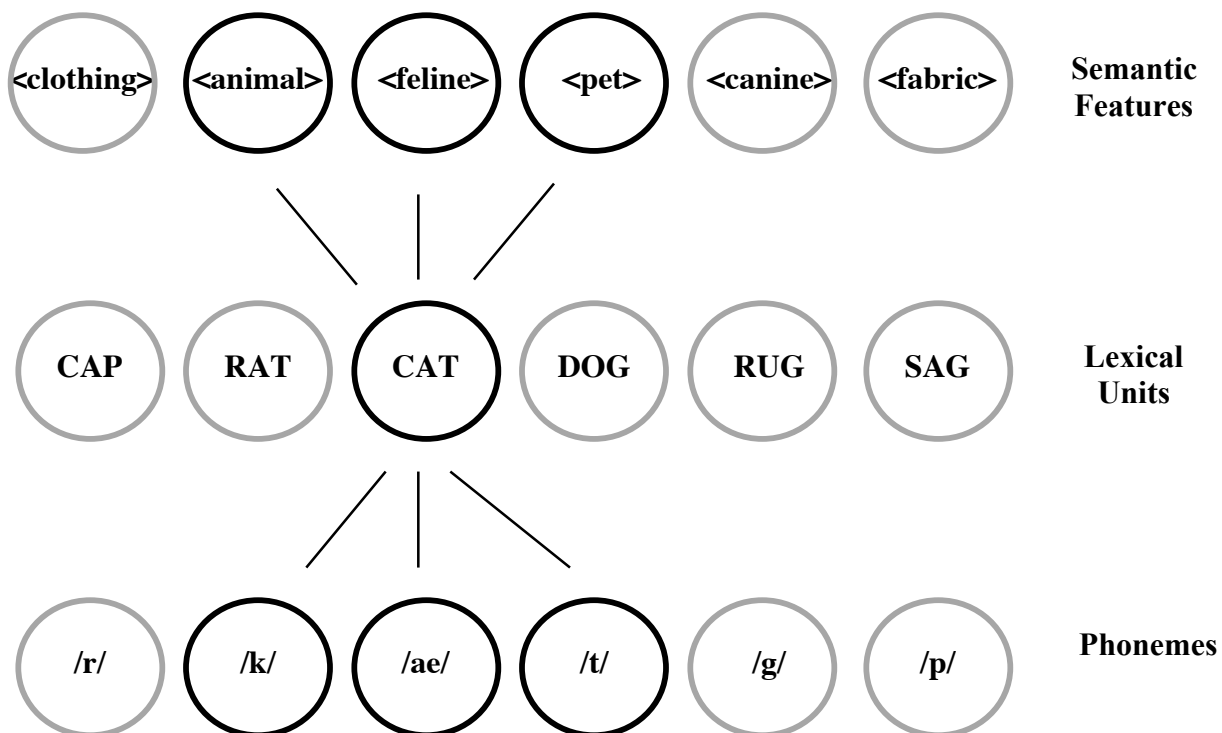
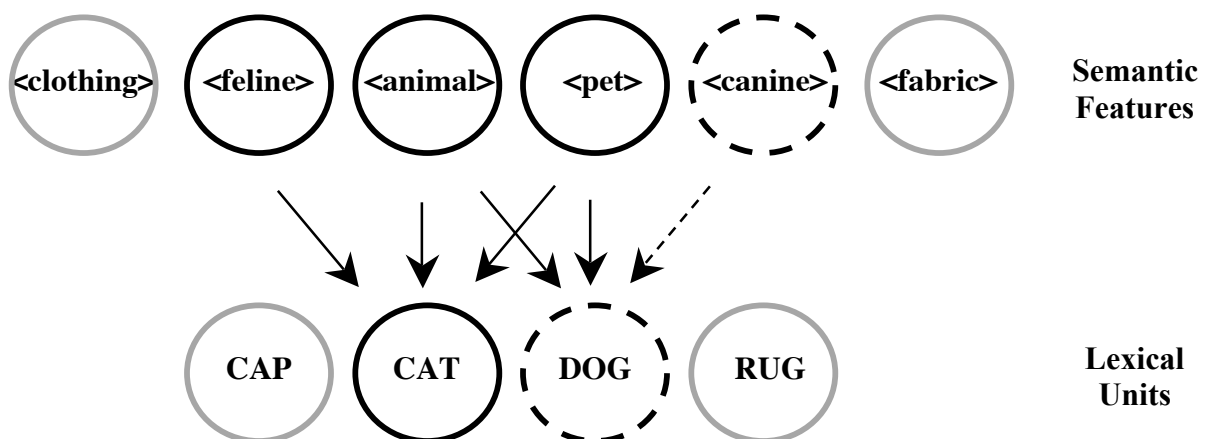


Figure 2.3

A.



B.

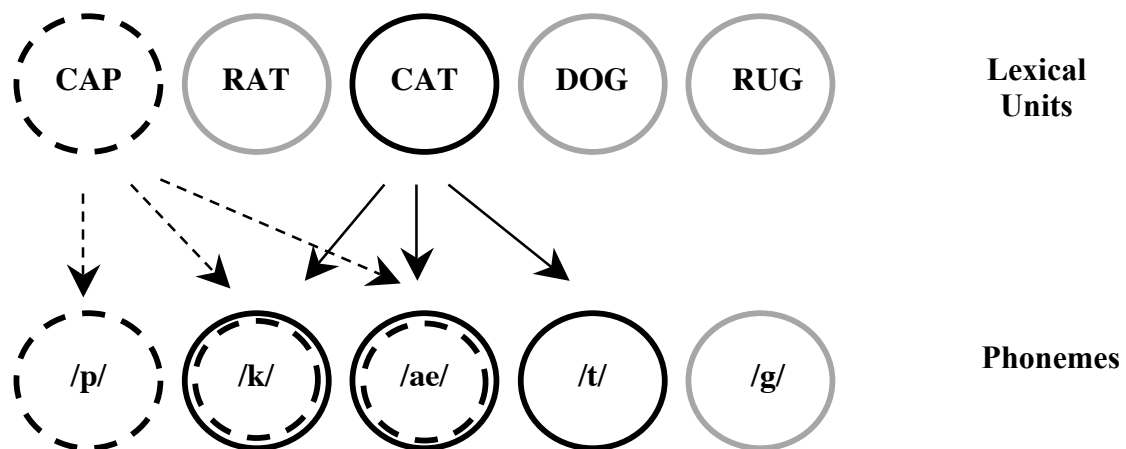
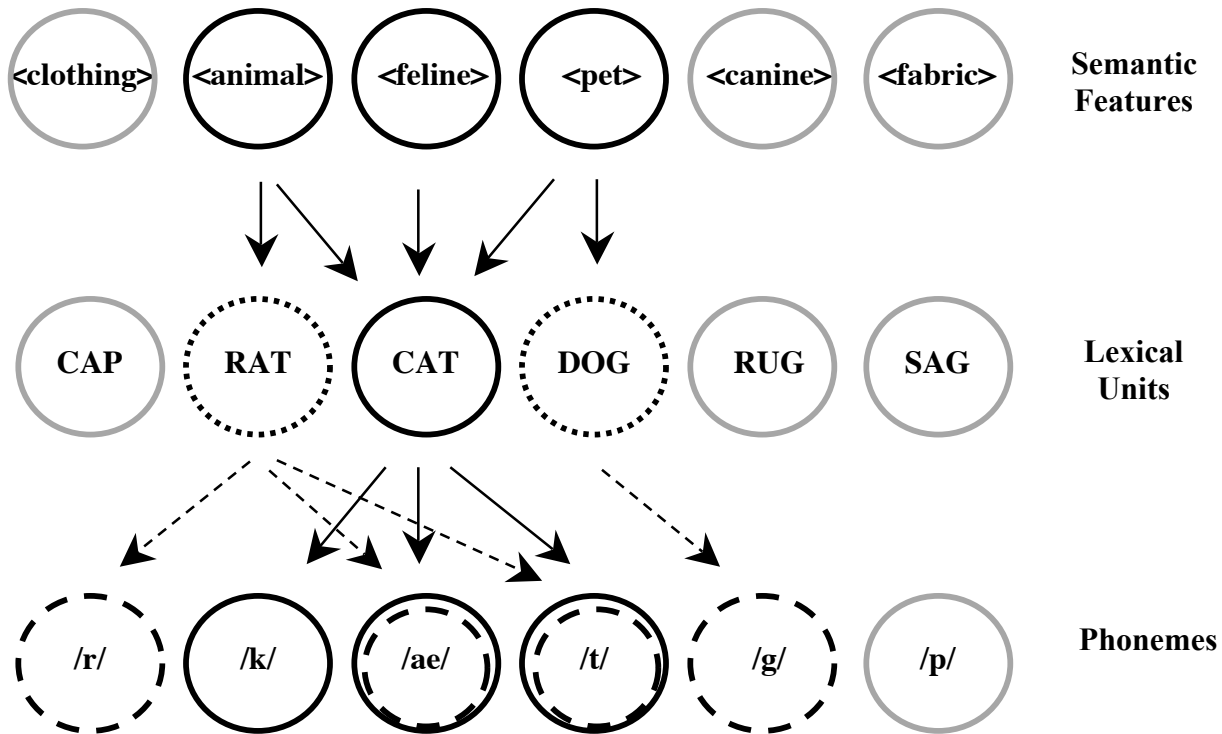


Figure 2.4

A.



B.

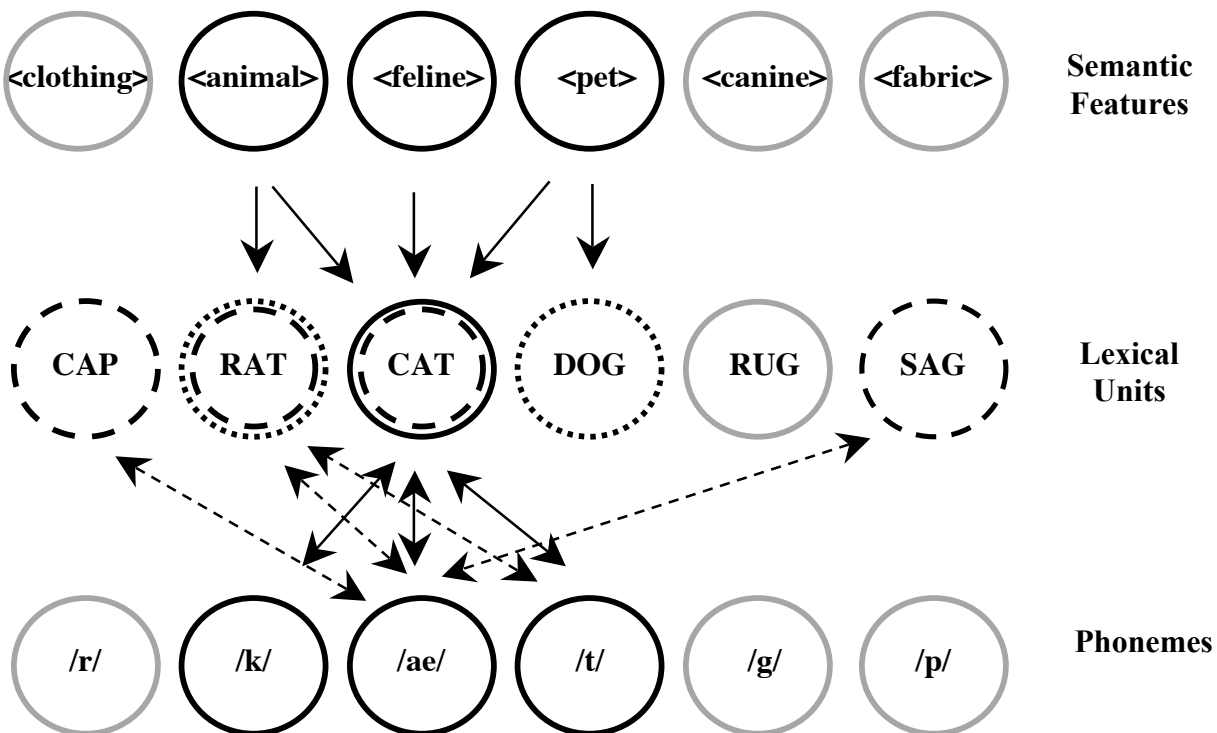


Figure 3

